

**CERTIFICATE OF ELECTRONIC FILING**

I hereby certify that this correspondence is being filed electronically with the Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450 on **July 23, 2009**.

  
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Jeannette Collymore

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant(s): Thomas Peterffy, et al.  
Serial No: 10/697,851  
Filing Date: October 30, 2003

Examiner: Bijendra K. SHRESTHA  
Art Unit: 3691  
Confirmation No. 9758

Title: PRICE IMPROVEMENT PROCESSOR FOR ELECTRONIC TRADING  
OF FINANCIAL INSTRUMENTS

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To: Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**RESPONSE (C) TO OFFICE ACTION**

Sir:

In response to the Final Office Action mailed January 23, 2009, Applicant submits the following amendment and response. A petition for a three-month extension of time under 37 CFR 1.136(a) is also enclosed herewith.

**Amendments to the claims** begin on page 3 of this paper.

**Remarks** begin on page 10 of this paper.

Claims 1-20 are currently pending in the application. Claim 15 is objected to. Claims 11-20 are rejected under 35 U.S.C. § 101. Claims 1-20 are rejected under 35 U.S.C. § 103(a).

Through the amendments and the Remarks that follow, the rejections of claims 1-20 above are overcome.

In the event that extensions of time are necessary, such extensions of time are hereby petitioned under 37 C.F.R. § 1.136(a), and any fees required for consideration of this paper (including fees for net addition of claims) are authorized to be charged to our **Deposit Account No. 03-2410, Ref. No. 30894-101**.